



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Anthony J. Yeates et al.

Appln. No. : 10/725,881

Filed : December 2, 2003

For : SYSTEMS AND METHODS FOR  
ENHANCING SECURITY OF  
COMMUNICATION OVER A PUBLIC  
NETWORK

Docket No.: M61.12-0564

Group Art Unit:

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

15<sup>th</sup> DAY OF March, 2004

Christopher Z. Ho  
PATENT/ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO  
Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of  
the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1. X with the application or within three months of the  
filing date of the application or date of entry into  
the national stage of an international application or  
before the mailing date of a first Office action on  
the merits, whichever event occurs last. In  
accordance with 37 C.F.R. § 1.97(b), no statement or  
fee is required.
2.      after the time period specified in paragraph 1 above,  
but before the mailing date of a final action under 37  
C.F.R. § 1.113 or notice of allowance under 37 C.F.R.  
§ 1.311. Therefore, in accordance with 37 C.F.R. §  
1.97(c), submitted herewith is:

(check either A or B below)



-2-

- A.        a statement as specified in 37 C.F.R. § 1.97(e).
- B.        the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3.        after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

#### **STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

       that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

       that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

#### **METHOD OF PAYMENT**

  X   No fee is required.

       Attached is a check in the amount of \$       .

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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CLH/rkp



FORM PTO-1449	Atty. Docket No.: M61.12-0564	Appl. No.: 10/725,881
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Anthony J. Yeates et al.	
	Filing Date	Group Art:
	December 2, 2003	

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AC						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AD	Bellare, Mihir. Et al. "Authenticated Key Exchange Secure Against Dictionary Attacks." <u>Advances in Cryptology</u> . Vol. 1807, 2000. Pgs. 139-155.
AE	Bellovin and Meritt, Steven M. and Michael. "Augmented Encrypted Key Exchange: {A} Password-Based Protocol Secure Against Dictionary Attacks and Password File Compromise", <u>Proceedings of the 1st {ACM} Conference on Computer and Communications Security</u> . November, 1993. Pags. 244-250.
AF	Park, Joon S. et al. "RBAC on the Web by Secure Cookies", 1999. 14 pgs.
AG	Park, Joon S. et al. "Role-Based Access Control on the Webt Using LDAP", 1999, pgs. 1-12.
AH	Fu, Kevin et al. "Dos and Don'ts of Client Authentication on the Web", August 2001. Pgs. 1-16.
AI	Samar, Vipin. "Single Sign-On Using Cookies for Web Applications", 1999. 6 pgs.
AJ	Morgan, Jeff et al. "Secure Web Access in an Environment of Mutual Distrust", Courant Institute of Mathematical Sciences, New York University, 2001. Pgs.1-23.
AK	Goldstein, Andy et al. "The Digital Distributed System Security Architecture", Reprint National Computer Security Conference, Jan. 1989, pgs. 1-13.
AL	Dalton, Chris I. Et al. "Secure Partitioned Access to Local Network Resources Over the Internet", Copyright Hewlett Packard Company 1998. Pgs. 1-23.
AM	Beresnevichiene, Yolanta. "A Role and Context Based Security Model" Technical Report, University of Cambridge, Jan. 2003, pgs. 1-89
AN	Brucker, Achim D. et al. "The {CVS} Server Case Study: {A} Formalized Security Architecture, <u>FM-Tools 2002</u> " July 2002, pgs. 47-52

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.